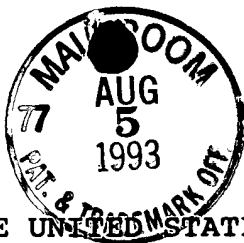


UMMC91-03A.IDS  
EWM5  
EWM/lmt  
7/30/93



PATENT APPLICATION  
Docket No.: UMMC91-03A

GP 1813  
#5  
lm  
8/18/93  
1813 8/17

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Harriet L. Robinson, Ellen F. Fynan and  
Robert G. Webster

Serial No.: 08/009,833

Filed: January 27, 1993

For: IMMUNIZATION BY INOCULATION OF DNA TRANSCRIPTION  
UNIT

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CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with  
the United States Postal Service as First Class Mail in an envelope  
addressed to Honorable Commissioner of Patents and Trademarks,  
Washington, D.C. 20231 on August 3, 1993  
HAMILTON, BROOK, SMITH & REYNOLDS, P.C.

Barbara A. Forant 8/3/93  
Signature Date

INFORMATION DISCLOSURE STATEMENT

The Commissioner of Patents and Trademarks  
Washington, DC 20231

Sir:

This Information Disclosure Statement is submitted:

- ☒ under 37 CFR 1.97(b), or  
(Within three months of filing national application; or date of entry of international application; or before mailing date of first office action on the merits; whichever occurs last)
- ☐ under 37 CFR 1.97(c) together with either:  
☐ a Certification under 37 CFR 1.97(e), or  
☐ a \$200.00 fee under 37 CFR 1.17(p), or  
(After the CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)
- ☐ under 37 CFR 1.97(d) together with:  
☐ a Certification under 37 CFR 1.97(e), and  
☐ a petition under 37 CFR 1.97(d)(2)(ii), and  
☐ a \$130.00 petition fee set forth in 37 CFR 1.17(i)(1).  
(Filed after final action or notice of allowance, whichever occurs first, but before payment of the issue fee)

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Method of Fee Payment:

- ☐ Enclosed is a check in the amount of \$\_\_\_\_\_.
- ☐ Please charge Deposit Account 08-0380 in the amount of \$\_\_\_\_\_.
- ☐ Two duplicate copies of this Statement are enclosed.
- ☒ Please charge any deficiency in fees and credit any overpayment to Deposit Account 08-0380.

Enclosed herewith is form PTO-1449:

- ☒ Copies of the cited references are enclosed, except for references AL (WO 90/11092), AX (Tang et al.), and AY (Hunt et al.) which were entered in prior application, U.S. Serial No. 07/855,562, and therefore are not enclosed herewith.
- ☐ The listed references were cited in the enclosed International Search Report in a counterpart foreign application.

Concise Explanation Requirement:

- ☐ The "concise explanation" requirement under C.F.R. 1.98(a)(3) is satisfied by:
  - ☐ the explanation provided on the attached sheet.
  - ☐ the explanation provided in the Specification.
  - ☐ submission of the enclosed International Search Report.

It is requested that the information disclosed herein be made of record in this application.

Respectfully submitted,

*Patricia Granahan*  
Patricia Granahan  
Attorney for Applicants  
Registration No.: 32,227  
Telephone: (617) 861-6240

Lexington, MA 02173

Dated: August 2, 1993

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